

**METHOD FOR HANDLING OF TRANSITION OUT OF PAGING CHANNEL
USER EQUIPMENT (PCH UE) STATES IN UNIVERSAL MOBILE
TELECOMMUNICATION SYSTEM (UMTS)**

5 **FIELD OF THE INVENTION**

This invention generally relates to handling of UE states in wireless communications and more particularly to a method of handling of transition out of paging channel UE states in UMTS.

10 **BACKGROUND OF THE INVENTION**

The following table of abbreviations is intended to assist in an understanding of the invention:

	3GPP	3 rd Generation Partnership Project
	CCCH	Common Control Channel
15	C-RNTI	Cell Radio Network Temporary Identifier
	DCCH	Dedicated Control Channel
	DTCH	Dedicated Traffic Channel
	FACH	Forward Access Channel
	FDD	frequency-division duplex
20	MAC	Medium Access Control
	PCH	Paging Channel
	RACH	Random Access Channel

2-511US

RRC Radio Resource Control

TDD time-division duplex

TDSCDMA time-division synchronous code-division multiple access

UE User Equipment

5 UMTS Universal Mobile Telecommunication System

U-RNTI UTRAN Radio Network Temporary Identifier

URA UTRAN registration area

UTRA Universal Terrestrial Radio Access

UTRAN UMTS Terrestrial Radio Access Network

10 In the 3rd Generation Partnership Project (3GPP) protocol specifications for Radio Resource Control, there are four possible states in the Universal terrestrial radio access (UTRA) RRC Connected mode (see Figure 1). These states allow the UTRAN to allocate resources to the User Equipment (UE) on a demand-basis. The UE is put into the CELL_PCH/ URA_PCH state by the
15 UTRAN depending on the traffic activity originating from the UE.

At the access stratum level, the UE is identified either by

a) U-RNTI

b) C-RNTI

While using the common transport channels (e.g., RACH, FACH, PCH),
20 UTRAN recognizes the UE using one of the above identifiers. C-RNTI gets deleted while entering the CELL_PCH or URA_PCH state. It also gets deleted when UE reselects a cell (even in a CELL_FACH state).

Whenever the UE transitions from CELL_PCH/ URA_PCH state to CELL_FACH state, it performs the Cell Update/ URA Update procedure. Also, if the UE does not have a valid C-RNTI in the CELL_FACH state, it needs to get one from the UTRAN via the Cell Update Procedure. UE transmits the CELL
5 UPDATE or URA UPDATE message on the common control channel (CCCH). The CELL UPDATE CONFIRM can come either on CCCH or DCCH. Figure 2 shows the successful Cell Update scenarios, including the basic flow without a response from the UE, and with a response from the UE. Refer to standard 3GPP TS 25.331: Radio Resource Control (RRC) Protocol Specification. At the
10 higher level, URA Update procedure is also similar.

All the connected mode UE state transitions, except coming out of CELL_PCH/ URA_PCH, are UTRAN directed (i.e. UTRAN orders UE to be come into the indicated state). On the other hand, UE has to come out of CELL_PCH/ URA_PCH state, depending on the events occurring at the UE. As stated above,
15 these transitions always take place via either Cell Update or URA Update procedure. The applicable standard specifications (including 3GPP TS 25.331: Radio Resource Control (RRC) Protocol Specification, and 3GPP 25.321: Medium Access Control (MAC) Protocol Specification) state that the UE enters CELL_FACH state just when the Cell Update/URA Update procedure starts. This
20 is intended because the CELL UPDATE CONFIRM/ URA UPDATE CONFIRM message may come on the DCCH, which is unavailable in the CELL_PCH/ URA_PCH state. However it may be noted that at this point, the UE does not

2-511US

have a C-RNTI.

The UE also does not have a C-RNTI if it reselects a cell in the CELL_FACH state.

For mapping the DCCH/DTCH logical channels over RACH (transport
5 channel for uplink), UE MAC uses the C-RNTI in MAC data PDU header (see
standard 3GPP 25.321: Medium Access Control (MAC) Protocol Specification).
As a result, the DCCH/DTCH transmission over RACH is not feasible unless the
UE has a C-RNTI. On the other hand, UTRAN can send downlink DCCH/DTCH
messages over FACH, by using the U-RNTI in the MAC data PDU header.
10 Hence the UE can only have a half duplex downlink link for DCCH/DTCH until it
has a C-RNTI again.

The problems identified in standard 3GPP TS 25.331: Radio Resource
Control (RRC) Protocol Specification are:

1. UE behavior while Cell Update is in progress (CELL_FACH without C-
15 RNTI): UE in CELL_FACH state but without a C-RNTI, has only a half
duplex (downlink) DCCH. As a result, the UE will not be able to transmit
'Initial Direct Transfer' or 'Uplink Direct Transfer' (refer to standard 3GPP
TS 25.331: Radio Resource Control (RRC) Protocol Specification for
details) if it has just entered the CELL_FACH state to initiate the Cell
20 Update procedure. However according to standard 3GPP TS 25.331:
Radio Resource Control (RRC) Protocol Specification, the UE is required
to delay the direct transfer procedures (until cell/ URA update completes)

only if they start in the CELL_PCH/ URA_PCH states. No such restriction is mentioned for the CELL_FACH state. This discrepancy is illustrated in figure 3, which shows CELL_FACH without C-RNTI. A number of such scenarios are possible because the UE non-access stratum has two independent state machines for circuit-switched (CS) and packet-switched (PS) services. Both of these utilize the common RRC connection or in other words the common RRC state.

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2. Multiple (Sequential) Cell/URA Updates: The high priority cell/URA updates get delayed due to UE's transitioning to CELL_FACH (without C-RNTI) state. For example, if the UE initiates a periodical cell update in the CELL_PCH state, and then it detects uplink data to transmit, it may not send a cell update with this high priority cause (uplink data transmission), until it has possibly returned to the CELL_PCH state again. This scenario is illustrated in figure 4, showing the high priority cell update getting delayed.

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It is desirable, considering the issues in the known arrangements, to provide an efficient method of handling of transition out of CELL_PCH/URA_PCH UE states in the UMTS.

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SUMMARY OF THE INVENTION

The invention addresses the prior art issue stated hereinabove by clearly identifying that the CELL_FACH state has actually two sub-states for the UE.

2-511US

The UE can be with C-RNTI, or the UE can be without C-RNTI. The invention considers the two UE sub-states so as to specify the correct UE behavior in the CELL_FACH state. As described hereinafter, the invention is applicable to layer 3 of TDD, FDD and TDSCDMA as relating to UMTS systems, but the invention is
5 envisaged to be applicable to other scenarios as well.

BRIEF DESCRIPTION OF THE DRAWING

A more detailed understanding of the invention may be had from the following description of a preferred embodiment, given by way of example and to
10 be understood in conjunction with the accompanying drawing wherein:

Figure 1 is an illustration of RRC states and state transitions including GSM;

Figure 2 exemplary cell update procedure of two types, the basic, and that with a response from the UE;

15 Figure 3 is an illustration of a CELL_FACH without C-RNTI;

Figure 4 is an illustration where high priority cell update gets delayed; and,

Figure 5 is an illustration of a preferred embodiment of a modified UE state machine.

20 DETAILED DESCRIPTION OF PREFERRED EMBODIMENT

The description that follows is directed to a preferred embodiment, which identifies that the CELL_FACH state has actually two sub-states for the UE. As

2-511US

described hereinafter, the two sub-states include the following two sub-states for UE:

- i) UE without C-RNTI. UE has only half duplex DCCH/DTCH capability in the downlink direction.
- 5 ii) UE with C-RNTI. UE has the full duplex DCCH/DTCH capability.

The invention includes two solutions in the form of two alternatives to specify the correct UE behavior in CELL_FACH state:

- a) Clearly identify the sub-states within CELL_FACH. We will refer to CELL_FACH without C-RNTI as HALF_CELL_FACH for the sake of
10 illustration (see Figure 5). The UE transitions from CELL_PCH/
URA_PCH state to CELL_FACH state only via HALF_CELL_FACH
state. Also the UE enters the HALF_CELL_FACH from CELL_FACH
state in case of cell reselection. The UE remains in the
HALF_CELL_FACH state as long as the Cell Update/ URA Update
15 procedure is active. The cell/ URA update triggers applicable to
CELL_PCH/ URA_PCH (e.g. uplink data transmission) also apply to
the HALF_CELL_FACH state.
- b) Modify the text for all RRC procedures requiring uplink DCCH/DTCH
transmission, to delay the procedure until the cell/ URA update is
20 completed. Also enhance the cell/URA update procedure to make it
possible for UE to send the cell update with the high priority cause
(e.g. uplink data transmission), if the UE is without a C-RNTI.

2-511US

The foregoing is a description of a method of handling UE states in UMTS, and offers several advantages including the following:

1. The UE behavior will be clearly defined in terms of its transmission/
reception capabilities. This would potentially avoid a lot of un-necessary
5 signaling between UE and UTRAN.
2. Cell Update/URA Update procedure will be speeded up because of the
correct recognition of events in terms of UE transmission capabilities.

While this invention has been particularly shown and described with
reference to a preferred embodiment, it will be understood by those skilled in the
10 art that various changes in form and details may be made therein without
departing from the scope of the invention as described hereinabove.